



11-25-03

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**Certification Under 37 C.F.R. 1.10**

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Name of person transmitting this correspondence Cathy Metzger

Signature of person transmitting this correspondence: Cathy Metzger

Date: November 24, 2003

Serial No. : 10/648,220  
Applicants : Solovay, K. S., et al.  
Filed : August 22, 2003  
  
Title : **LIGHT COUPLING ASSEMBLY**  
  
Examiner : T.B.A.  
Group Art Unit : 3739  
  
Attorney File No. : GSS-102

Mail Stop - Non-Fee Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**INFORMATION DISCLOSURE STATEMENT**

Sir:

Pursuant to 37 C.F.R §§ 1.56, 1.97(b)(1) and 1.98, Applicants submit herewith Form PTO/SB/08A and PTO/SB/08B including a list of publications known to Applicants and/or their Attorney/Agent. Copies of the foreign documents and non-patent literature are submitted herewith.

Applicants respectfully request that the Examiner consider the listed documents and indicate that they were considered by making appropriate notations on the attached Forms PTO/SB/08A and PTO/SB/08B.

This submission does not represent that a search has been made or that no better art exists. Nor does it constitute an admission that each or all of the listed documents are material or constitute "prior art." If the Examiner applies any of the

documents as prior art against any claim in the application and Applicants determine that the cited documents do not constitute "prior art" under United States law, Applicants reserve the right to present to the Office the relevant facts and law regarding the appropriate status of such documents.

Applicants further reserve the right to take appropriate action to establish the patentability of the disclosed invention over the listed documents should one or more of the documents be applied against the claims of the present application.

Under 37 C.F.R. § 1.97(b)(1), this Information Disclosure Statement is being submitted within three months of the filing date of a national application; therefore, no fees are believed to be due.

Respectfully submitted,

A handwritten signature in black ink, appearing to read "Rick Comoglio", is written over a horizontal line.

Rick F. Comoglio, Registration No. 40,963  
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PTO/SB/08A (08-03)

Approved for use through 07/31/2006. OMB 0651-0031

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Substitute for form 1449/PTO

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Sheet 1 of 2

**Complete if Known**

Application Number	10/646,220
Filing Date	August 22, 2003
First Named Inventor	Solovay, K., et al.
Art Unit	3739
Examiner Name	T.B.A.
Attorney Docket Number	GSS-102

**U. S. PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (if known)			
		US- 5,569,300	10/29/96	Redmon	
		US- 5,667,478	09/16/97	McFarlin, et al.	
		US- 3,614,414	10/19/71	Gores	
		US- 2,376,448	05/22/45	Neugass	
		US- 3,287,547	11/22/66	Spedding	
		US- 4,096,864	06/27/78	Kletschka, et al.	
		US- 4,671,283	06/09/87	Hoskin, et al.	
		US- 5,342,375	08/30/94	Lemole	
		US- 6,129,662	10/10/00	Li, et al.	
		US- 6,176,824	01/23/01	Davis	
		US- 5,190,028	03/1993	Lafferty, et al.	
		US- 5,655,100	09/1997	Yoon	
		US- 4,165,746	08/28/1979	Kletschka, et al.	
		US- 5,250,046	10/05/1993	Lee	
		US- 5,318,589	06/07/1994	Lichtman	
		US- 5,667,473	09/16/1997	Finn, et al.	
		US- 5,868,668	02/09/1999	Weiss	
		US- 5,916,149	06/29/1999	Ryan, Jr.	
		US- 6,185,356	02/06/2001	Parker, et al.	

**FOREIGN PATENT DOCUMENTS**

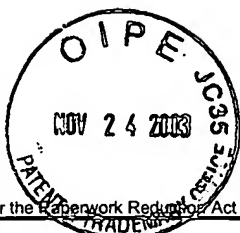
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)				
		WO 99/01696	01/14/99	PCT		
		WO 90/10420	09/20/90	PCT		
		DE 42 34 050 A1	06/17/93	Germany		

Examiner  
SignatureDate  
Considered

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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First Named Inventor Solovay, K., et al.

Art Unit 3739

Examiner Name T.B.A.

Attorney Docket Number GSS-102

Sheet

of

**NON PATENT LITERATURE DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
		Form PCT/ISA/210, International Search Report for PCT/US01/23026 mailed February 1, 2002	

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<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.

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